

European Lung Health Group proposed recommendations on the European Health Data Space

Amendment 1

Recital (new)

Text proposed by the European Commission	Proposed ELHG amendment
	To guarantee the effectiveness of a European Health Data Space, digital literacy of all relevant stakeholders must be ensured, particularly for patients and healthcare professionals. Education and information programmes shall be introduced at the Member State level for the purpose of training healthcare professionals and patients alike on the functions and use of digital health data, while continuing to inform the public at large about the role of authorities managing the digital access to health data through European Health Data Space, access bodies, and the benefits, risks and rights linked with individual and collective digital health data arising from this Regulation.

Justification:

To be effective, the setup of the European Health Data Space will require investment on digital health literacy on crucial issues such as consent, data collection, data use and rights and obligations. Information materials and services (analogical and digital) addressed to increase digital health literacy will be required to be tailored to different audiences and languages. More specifically, healthcare workers and patients will need appropriate training on digital health data to guarantee the effective and sound use of those data within the EHDS.

Amendment 2

Recital (new)

Text proposed by the European Commission	Proposed ELHG amendment
	Healthcare professionals should not be overburdened by further administrative layers that might be required by the implementation of the European Health Data Space. In no case should the time allocated to patients' healthcare



be impacted or reduced by the digital processes
required by the EHDS.

Healthcare professionals will be an integral partner in ensuring the European Health Data Space is fit for purpose and unleashes its full potential. For that end, healthcare professionals will not only need appropriate training to embed EHDS considerations in their job, but also their key role and capacity to treat patients should be preserved from any extra layer of administrative work that could undermine their care performance and patients' health.

Amendment 3

Article 2, paragraph 2, point af (new)

Text proposed by the European Commission	Proposed ELHG amendment (af) 'innovation activities' means new products, services and models foreseen to improve health outcomes, cost efficiency and any other areas as recognised by the end-users of the innovation such as patients, healthcare
	professionals and health administrators.

Justification:

If patients agree to share their data, it should always be in their interest, whether it is to support research or improve their quality of life. As pointed out by the European Data Protection Board and the European Data Protection Supervisor,¹ 'Innovation activities', which constitute a purpose for re-use, are not properly defined in the draft regulation, which opens the door to interpretation and creates new basis for the secondary processing of health data, such as for commercial purposes that do not add value for patients or society. Although there is no universally accepted definition of 'innovation', the European Lung Health Group sustains that health innovation should refer to the extent to which the activity makes a tangible and positive difference to people's health. Identifying this benefit is only possible when decided in partnership with patients.

Amendment 4

Article 3, paragraph 9

Text proposed by the European Commission	Proposed ELHG amendment
9. Notwithstanding Article 6(1), point (d), of	9. Notwithstanding Article 6(1), point (d),
Regulation (EU) 2016/679, natural persons shall	of Regulation (EU) 2016/679, natural persons
have the right to restrict access of health	shall have the right to restrict access of health

¹ See EDPB-EDPS Joint Opinion 03/2022 on the Proposal for a Regulation on the European Health Data Space, 12 July 2022, p.22. Available at <u>https://edpb.europa.eu/system/files/2022-07/edpb_edps_jointopinion_202203_europeanhealthdataspace_en.pdf</u>



professionals to all or part of their electronic	professionals to all or part of their electronic
health data. Member States shall establish the	health data. The European Commission Member
rules and specific safeguards regarding such	States shall establish the rules and specific
restriction mechanisms.	safeguards regarding such restriction
	mechanisms through a delegated act.

The specific rules and safeguards to ensure the right to restrict access by health professionals to all or part of patients' electronic health data should be established at European level, not at national level. Firstly, access to records will take place between Member States in the framework of the cross-border infrastructure MyHealth@EU, which will therefore require harmonised rules between Member States. Secondly, a definition at national level risks creating inequalities between citizens and ultimately hindering their right to restrict access, as Member States may apply different rules and specific safeguards to this right.

Amendment 5

Article 6, paragraph 1, point a

Text proposed by the European Commission	Proposed ELHG amendment
1.The Commission shall, by means of implementing acts, lay down the technical specifications for the priority categories of personal electronic health data referred to in Article 5, setting out the European electronic health record exchange format. The format shall include the following elements:	1.The Commission shall, by means of implementing acts, lay down the technical specifications for the priority categories of personal electronic health data referred to in Article 5, setting out the European electronic health record exchange format. The format shall include the following elements:
(a) datasets containing electronic health data and defining structures, such as data fields and data groups for the content representation of clinical content and other parts of the electronic health data;	(a) harmonised datasets containing electronic health data and defining structures, such as minimum data fields and data groups for the content representation of clinical content and other parts of the electronic health data, that can be enlarged to include disease-specific data ;

Justification:

The European Health Data Space is a unique opportunity for patients to be empowered to make the best use of their personal health data individually and in partnership with healthcare providers, not only in a cross- border scenario but also to manage their inter-provider care and self-management. This is in line with the Commission's stated priority that citizens should be empowered with data and digital tools for person-centred care, prevention, and self-care as well as interaction between users



and healthcare providers.² All patients should receive a minimum set of data in a common format that can be enlarged to include disease- specific data, if necessary. Patient organisations will play a key role as capacity-builders by providing their patients with targeted educational materials and activities on the disease-specific dataset.

Amendment 6

Article 10, paragraph 5

Text proposed by the European Commission	Proposed ELHG amendment
5. In the performance of its tasks, the digital health authority shall actively cooperate with stakeholders' representatives, including patients' representatives. Members of the digital health authority shall avoid any conflicts of interest.	5. Essential health stakeholders' representatives, including patient organisations and healthcare professional organisations, shall be present in the governance and decision- making structures of the digital health authority. In the performance of its tasks, the digital health authority shall actively cooperate with stakeholders' representatives, including patients' representatives. Members of the digital health authority shall avoid any conflicts of interest. The Commission shall be empowered to adopt delegated acts setting out what is likely to constitute a conflict of interests together with the procedure to be followed in such cases.

Justification:

Digital health authorities (DHA) will be responsible for monitoring patients' and citizens' rights and for ensuring they are properly protected. In the views of the ELHG, the implementation of the regulation must involve also the individuals who will be directly impacted and essential to its achievement: the health stakeholders. On the one hand, concrete and meaningful involvement of patients' representatives in the governance and decision-making structures of the DHA will be essential to ensure transparency, build a high level of trust and ensure patients' needs are fully taken into consideration. On the other hand, healthcare professionals' expertise will provide further knowledge on potential issues that can arising when using digital systems on a regular basis. In addition, the Commission should define more precisely what constitutes a conflict of interest, as unclear criteria lead to unpredictable engagement.

² See the Communication from the Commission to the European Parliament and the Council; A European Health Data Space: harnessing the power of health data for people, patients and innovation, COM(2022) 196/2, 3 May 2022. Available at https://health.ec.europa.eu/publications/communication-commission-european-health-data-space-harnessing-power-health-data-people-patients-and en.



Amendment 7

Article 33, paragraph 5a (new)

Text proposed by the European Commission	Proposed ELHG amendment
	4b. Health data access bodies shall provide for an accessible and easily understandable opt- out mechanism, whereby natural persons must be required to explicitly express their wish not to have their personal electronic health data processed for secondary use.

Justification:

Patients are generally willing to share their health data provided that appropriate and informed consent is given, in particular in view of the large number of categories of health data made available in the context of the EHDS. An opt-out mechanism would give patients and citizens the choice to control their own health data, which is the objective of the Regulation as described in Article 1(2)(a), namely, to strengthen the rights of individuals with regard to the availability and control of their electronic health data. Opt-out mechanisms for processing health data are already in place in several Member States. Belgium, for example, has an opt-out system for tissue research, while France has an opt-out mechanism for the collection of personal health data in registries.³ In addition to being described as a trust-building option,⁴ various studies have shown that an opt-out system is to be preferred to consent for each use of personal data, which places a disproportionate burden on patients, or to an opt-in mechanism, which is more likely to result in a less representative study population.⁵

Amendment 8

Article 34, paragraph 1, point f

Text proposed by the European Commission	Proposed ELHG amendment
(f) development and innovation activities for products or services contributing to public	

³ See DG Health and Food Safety, "Assessment of the EU Member States' rules on health data in the light of GDPR", 2021, p. 116. Available at: <u>https://health.ec.europa.eu/system/files/2021-02/ms_rules_health-data_en_0.pdf.</u>

⁴ See DG Health and Food Safety, "Assessment of the EU Member States' rules on health data in the light of GDPR", p. 116; and this was identified in the discussions at the EPF 2022 Congress. Replay available at: <u>https://epfcongress.eu/</u>.

⁵ See National Data Guardian for Health and Care, "Review of Data Security, Consent and Opt-Outs", 2016. Available at: <u>https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/535024/data-</u>

security-review.PDF ; and Henshall, C. & Potts, J.& Walker, S. & Hancock, M. & Underwood, M. & Broughton, N. & Ede, R. & Kernot, C. & O'Neill, L.& Geddes, J. & Cipriani, A., "Informing National Health Service patients about participation in clinical research: A comparison of opt-in and opt-out approaches across the United Kingdom", Australian & New Zealand Journal of Psychiatry, 21 November 2020.



health or social security, or ensuring high levels	health or social security, or ensuring high levels
of quality and safety of health care, of medicinal	of quality and safety of health care, of
products, or of medical devices;	medicinal products or of medical devices, and
	ensuring benefit to the end-users of the
	innovation, such as patients, healthcare
	professionals and health administrators, which
	is defined in partnership with them.

As pointed out by the European Data Protection Board and the European Data Protection Supervisor in their joint opinion,⁶ the purposes for re-use are not properly delimited and could include any form of development and innovation activities for products or services contributing to public health or social security. Patient data should be used for the benefit of providing better healthcare to patients and to improve public health. Data used to drive advancements in treatments, medicines, devices and services should, for instance, lead to innovation bringing better health outcomes to patients, including answering the patients' unmet needs.

Amendment 9

Article 34, paragraph 1, point g

Text proposed by the European Commission	Proposed ELHG amendment
(g) training, testing and evaluating of algorithms, including in medical devices, AI systems and digital health applications, contributing to the public health or social security, or ensuring high levels of quality and safety of health care, of medicinal products or of medical devices;	(g) training, testing and evaluating of algorithms, including in medical devices, AI systems and digital health applications, contributing to the public health or social security, or ensuring high levels of quality and safety of health care, of medicinal products or of medical devices; and ensuring benefit to the end-users, such as patients, healthcare professionals and health administrators, which is defined in partnership with them.

Justification:

As pointed out by the European Data Protection Board and the European Data Protection Supervisor in their joint opinion,⁷ the purposes for re-use are not properly delimited and could include any form of training, testing and evaluation of algorithms, including in medical devices, AI systems and digital health applications, contributing to public health or social security. The use of patient data should bring

⁶ See EDPB-EDPS Joint Opinion 03/2022 on the Proposal for a Regulation on the European Health Data Space, 12 July 2022, p.22.



benefit to patients, otherwise, it risks undermining acceptance and trust in the sharing of their health data.

Amendment 10

Article 35, point f (new)

Text proposed by the European Commission	Proposed ELHG amendment
	(f) automated individual decision-making, including profiling, in accordance with Article 22 of the Regulation (EU) 2016/679.

Justification:

Personal health characteristics should not be used to make automated decisions, such as employment, loan and insurance decisions, and to profile an individual. Patients with chronic diseases are particularly vulnerable to automated individual decision-making and profiling, as their chronic condition could be used to make decisions against them or categorise them and lead to discrimination based on predictive and not factual health data.⁸

Amendment 11

Article 36, paragraph 3

Text proposed by the European Commission	Proposed ELHG amendment
3. In the performance of their tasks, health data access bodies shall actively cooperate with stakeholders' representatives, especially with representatives of patients, data holders and data users. Staff of health data access bodies shall avoid any conflicts of interest. Health data access bodies shall not be bound by any instructions, when making their decisions.	3. Essential health stakeholders' representatives, including patient organisations and healthcare professionals' representatives, shall be present in the governance and decision- making structures of the health data access bodies. In the performance of their tasks, health data access bodies shall actively cooperate with stakeholders' representatives, especially with representatives of patients, data holders and data users. Staff of health data access bodies shall avoid any conflicts of interest. Health data access bodies shall not be bound by any instructions, when making their decisions.

Justification:

⁸ See Favaretto, M., De Clercq, E. & Elger, B.S." Big Data and discrimination: perils, promises and solutions. A systematic review", J Big Data 6, 12, 2019. Available at: <u>https://doi.org/10.1186/s40537-019-0177-4</u>



The health data access bodies are responsible for the secondary use of data, including granting permits. They will be the decision-makers on behalf of society. The involvement of patient organisations is therefore crucial, not only to ensure that the needs of patients are fully taken into account, but also to ensure transparency, democratic and inclusive governance. This is already the case in the French health data access body, the Health Data Hub, where the vice-president is the president of the national platform of patient and health system user organisations.⁹

Amendment 12

Article 38, paragraph 1, point ea (new)

Text proposed by the European Commission	Proposed ELHG amendment
	(ea) The record on who has been granted access to the data, the legal basis and the purpose, in accordance with Union and national law.

Justification:

Currently, the proposal states that the health data access bodies are not required to provide specific information to each natural person about the use of their data. While most patients agree that their health data should be used in the public interest, they also want information on who is using their data and how. This exemption from Article 14 of the General Data Protection Regulation (GDPR) may also have unintended consequences for patients' fundamental rights and freedoms, including their right to information. Therefore, in line with GDPR exemptions, patients should be informed about who has had access to their data, on what basis and for what purpose.

Amendment 13

Article 38, paragraph 2

Text proposed by the European Commission	Proposed ELHG amendment
2.Health data access bodies shall not be obliged to provide the specific information under Article 14 of Regulation (EU) 2016/679 to each natural person concerning the use of their data for projects subject to a data permit and shall provide general public information on all the data permits issued pursuant to Article 46.	2. At the request of a natural person or a group representing natural persons, health data access bodies shall not be obliged to provide the specific information under Article 14 of Regulation (EU) 2016/679 concerning the use of their health data for projects subject to a data permit and shall provide general public information on all the data permits issued pursuant to Article 46.

⁹ See the Health Data Hub, <u>https://www.health-data-hub.fr/notre-organisation</u>



This amendment is linked to Amendment No 9 and echoes the joint opinion of the European Data Protection Board and the European Data Protection Supervisor, noting that this exemption to Article 14 of the GDPR may have "unintended consequences for the fundamental rights and freedoms of patients, due to the lack of concrete conditions under which such an exemption would be applicable".¹⁰ They recommend amending the provision accordingly, taking into account that the requirements set out in Article 14 of the GDPR cannot be systematically set aside without a proper and relevant assessment and justification of the need for such an exemption. Moreover, a natural person or a group representing natural persons, such as patient organisations, should be entitled to request data.

Amendment 14

Article 38, paragraph 4

Text proposed by the European Commission	Proposed ELHG amendment
4. Member States shall regularly inform the public at large about the role and benefits of health data access bodies.	4. Member States shall regularly inform the public at large about the role and benefits of health data access bodies, <i>the risks and consequences linked with individual and collective digital health data rights arising from this Regulation.</i>

Justification:

Informed consent requires clear, transparent, easily accessible information on the use of patients' data. As the right to information under Article 14 of the GDPR is challenged in the Regulation, it is essential that Member States conduct extensive information campaigns not only on the role and benefits of health data access bodies, but also on the potential consequences of sharing health data and on the individual and collective digital rights arising from this Regulation.

Amendment 15

Article 43, paragraph 4

Text proposed by the European Commission	Proposed ELHG amendment

¹⁰ EDPB-EDPS Joint Opinion 03/2022 on the Proposal for a Regulation on the European Health Data Space, p. 24



4. [] In this regard, the health data access	4.[] In this regard, the health data access bodies
bodies shall be able, where appropriate, to	shall be able, where appropriate, to revoke the
revoke the data permit and to exclude the data	data permit and to exclude the data user from
user from any access to electronic health data	any access to electronic health data for a period
for a period of up to 5 years.	of up to 5 years, and fines shall be imposed in
	accordance with Article 83 of the Regulation
	(EU) 2016/679.

The draft regulation only mentions the revocation of permits for a maximum of 5 years. In order to build trust, misuse of health data must be accompanied by strong sanctions that deter data users from violating the licence, including, but not limited to, fines. Fines should be transparent, proportionate, effective, and harmonised between Member States to ensure the same level of protection for all patients.

Amendment 16

Article 43, paragraph 4a (new)

Text proposed by the European Commission	Proposed ELHG amendment
	4b. Any natural person affected by a breach of the data permit issued pursuant to Articles 35 and 46 should have the right to an effective judicial remedy before a tribunal in accordance with Article 47 of the EU Charter of Fundamental Rights.

Justification:

The draft Regulation undermines the right to information of patients, with at least one explicit derogation from the provisions of Article 14 of the GDPR regarding the information to be provided to data subjects. As highlighted in the joint opinion of the European Data Protection Board and the European Data Protection Supervisor, such a derogation undermines the possibility for data subjects to exercise effective control over their personal data. In the absence of the right of information being upheld, it cannot reasonably be expected that natural persons, especially vulnerable groups such as patients, have the knowledge, resources, and capacity to ensure the protection of their data. The Regulation should therefore include provisions on effective access to justice in cases of misuse of health data.

Amendment 17

Article 45, paragraph 2i (new)

Text proposed by the European Commission	Proposed ELHG amendment
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(i) a communication plan defining audiences and tools to publicly inform on the results or
outcomes of the access to the data in
accordance with article 46 (11).

This amendment is an additional safeguard to ensure that patients are informed about the results or findings of projects for which electronic health data have been used. While most patients agree that their health data should be used for the public benefit, they also want access to the results of research that uses their data. Under Article 38(1)(e) of the draft Regulation, data users are required to make these results publicly available. However, in their requests for access to the data, data users are not obliged to indicate how they will communicate these results.

Amendment 18

Article 46, paragraph 11

Text proposed by the European Commission	Proposed ELHG amendments
11. Data users shall make public the results or output of the secondary use of electronic health data, including information relevant for the provision of healthcare, no later than <i>18</i> months after the completion of the electronic health data processing or after having received the answer to the data request referred to in Article 47. Those results or output shall only contain anonymised data. The data user shall inform the health data access bodies from which a data permit was obtained and support them to make the information public on health data access bodies' websites. Whenever the data users have used electronic health data in accordance with this Chapter, they shall acknowledge the electronic health data sources and the fact that electronic health data has been obtained in the context of the EHDS.	11. Data users shall make public the results or output of the secondary use of electronic health data, including information relevant for the provision of healthcare, no later than 12 months after the completion of the electronic health data processing or after having received the answer to the data request referred to in Article 47. Those results or output shall only contain anonymised data. The data user shall inform the health data access bodies from which a data permit was obtained and support them to make the information public <i>in lay summaries</i> on health data access bodies' websites. Whenever the data users have used electronic health data in accordance with this Chapter, they shall acknowledge the electronic health data has been obtained in the context of the EHDS.

Justification:

Simply providing the results or output of secondary use of electronic health data is not enough if the information provided is not easily understandable by patients. Data users should therefore ensure that information is communicated in a way that facilitates understanding, by for example using a lay language. Effective and patient-friendly communication is of utmost importance, and it contributes to



the health and digital health literacy of patients. Not having clear information on how their data has been used could undermine the willingness of patients to share their data, which however is indispensable for the effective deployment of the EHDS.

Amendment 19

Article 64, paragraph 1

Text proposed by the European Commission	Proposed ELHG amendment
1. A European Health Data Space Board (EHDS Board) is hereby established to facilitate cooperation and the exchange of information among Member States. The EHDS Board shall be composed of the high level representatives of digital health authorities and health data access bodies of all the Member States. []	1. A European Health Data Space Board (EHDS Board) is hereby established to facilitate cooperation and the exchange of information among Member States. The EHDS Board shall be composed of the high level representatives of digital health authorities and health data access bodies of all the Member States, <i>as well as</i> <i>representatives of health stakeholders,</i> <i>including patient and healthcare professionals</i> <i>organisations. []</i>

Justification:

The participation of patient representatives in the meetings of the EHDS Board should not be conditional on the topics discussed and their degree of sensitivity. The unique experience that patients can bring is fundamental to driving the implementation of the EHDS, building a high level of trust and ensuring that patients' needs are fully taken into consideration. In shaping the governance of the EHDS at European level, inspiration could be taken from the European Medicines Agency (EMA), which has a long-standing commitment to and appropriate structures for engaging with civil society stakeholders. Patient representatives are present in most scientific committees of the EMA as well as the Management Board. Patients, consumers and healthcare professionals' organisations have a dedicated forum for regular dialogue.¹¹

Amendment 20

Article 64, paragraph 4

Text proposed by the European Commission	Proposed ELHG amendment
	4. <i>Health</i> stakeholders, <i>including patients'</i>
including patients' representatives, shall be	representatives, and relevant third parties,
invited to attend meetings of the EHDS Board	including patients' and healthcare

¹¹ See the EMA Framework for engaging patients and consumers, updated in January 2022: https://www.ema.europa.eu/en/documents/other/engagement-framework-european-medicines-agency-patientsconsumers-their- organisations_en.pdf ; and the EMA Stakeholder engagement report 2020-21: https://www.ema.europa.eu/en/documents/report/stakeholder-engagement-report-2020-2021_en.pdf



and to participate in its work, depending on the	professionals' representatives, shall be invited
topics discussed and their degree of sensitivity.	to attend meetings of the EHDS Board and
	participate in its work., depending on the topics
	discussed and their degree of sensitivity.

The participation of patient and healthcare professionals representatives in the meetings of the EHDS Board should not be conditional on the topics discussed and their degree of sensitivity. The unique experience that patients can bring is fundamental to driving the implementation of the EHDS, building a high level of trust, and ensuring that patients' needs are fully taken into consideration. Healthcare professionals will be at the frontline of the EHDS and it's fundamental to hear their perspective on the implementation and development of the proposal.

Amendment 21

Annex I, paragraph 1

Text proposed by the European Commission	Proposed ELHG amendment
1. Patient summary	1. Patient summary
Electronic health data that includes important clinical facts related to an identified person and that is essential for the provision of safe and efficient healthcare to that person. The following information is part of a patient summary: []	Electronic health data that includes important clinical facts related to an identified person and that is essential for the provision of safe and efficient healthcare to that person. <i>The patient</i> <i>summary shall be harmonised across Member</i> <i>States and include a minimum data set that can</i> <i>be expanded to include disease-specific data</i> . The following information is part of a patient summary: []

Justification:

This amendment is linked to amendment No 5 and aims to promote patients' understanding and control of their personal health data.